

A POROSITY RESULT FOR VARIATIONAL PROBLEMS ARISING IN CRYSTALLOGRAPHY

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ABSTRACT: In this paper we study the structure of minimizers of variational problems which describe step-terraces on surfaces of crystals.

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1. INTRODUCTION

In this paper we study the structure of minimizers of variational problems considered in Hannon et al [6], Hannon et al [7], Jeng and Williams [8], Mizel and Zaslavski [9] which describe step-terraces on surfaces of crystals. It is well-known in surface physics that when a crystalline substance is maintained at a temperature T above its *roughening temperature* T_R then the surface stored energy integrand, usually referred to as *surface tension*, is a smooth function β of the azimuthal angle of orientation θ . Furthermore, β obeys the following:

$$\beta(-\theta) = \beta(\pi - \theta) = \beta(\theta), \quad 0 < \beta(\pi/2) \leq \beta(\theta) \leq \beta(0)$$

(cf. for example Dacorogna and Pfister [2], Fonseca [5], Jeng and Williams [8]). The classical model studied in Mizel and Zaslavski [9] and in the present paper is given by

$$J(y) = \int_0^S \beta(\theta) ds,$$

where s is arclength and y is a function defined on a fixed interval $[0, L]$ whose graph is the locus under consideration:

$$y \in W^{1,1}(0, L), \quad \theta = \arctan y' \in [-\pi/2, \pi/2],$$

while β is a positive π -periodic function which belongs to a space of functions described below. Minimization of J subject to appropriate boundary data is a parametric variational problem. It is closely related to the variational problem defining the Wulff crystal shape as that shape for a domain of prescribed area such that the boundary integral with respect to arclength involving the integrand in J (referred to as the surface tension) attains its minimum value Dacorogna and Pfister [2], Fonseca [5].

For each function $f : X \rightarrow R$ set $\inf(f) = \inf\{f(x) : x \in X\}$.

Denote by \mathcal{M} the set of all functions $\beta \in C^2(R)$ which satisfy the following assumption:

(A)

$$\beta(t) \geq 0 \text{ for all } t \in R, \tag{1.1}$$

$$\beta(\pi/2) \leq \beta(t) \leq \beta(0) \text{ for all } t \in R, \tag{1.2}$$

$$\beta(t) = \beta(-t) \text{ for all } t \in R, \tag{1.3}$$

$$\beta(t + \pi) = \beta(t) \text{ for all } t \in R, \tag{1.4}$$

$$\beta(0) + \beta''(0) \leq 0. \tag{1.5}$$

For each $\beta_1, \beta_2 \in \mathcal{M}$ set

$$\rho(\beta_1, \beta_2) = \sup\{|\beta_1^{(i)}(t) - \beta_2^{(i)}(t)| : t \in R, i = 0, 1, 2\}. \tag{1.6}$$

It is not difficult to see that the metric space (\mathcal{M}, ρ) is complete.

Denote by \mathcal{M}_r the set of all $\beta \in \mathcal{M}$ such that

$$\beta(t) > 0 \text{ for all } t \in R, \tag{1.7}$$

$$\beta(0) + \beta''(0) < 0. \tag{1.8}$$

It was shown in Mizel and Zaslavski [9], Proposition 1.1 that \mathcal{M}_r is an open everywhere dense subset of (\mathcal{M}, ρ) . Let $\beta \in \mathcal{M}$. Define

$$G_\beta(z) = \beta(\arctan(z))(1 + z^2)^{1/2}, z \in R. \tag{1.9}$$

Clearly G_β is a continuous function and if $\beta \in \mathcal{M}_r$, then

$$G_\beta(z) \rightarrow \infty \text{ as } z \rightarrow \pm\infty. \tag{1.10}$$

Remark 1.1. Note that if $\beta \in \mathcal{M}_r$, then $\inf(G_\beta) < \beta(0)$ (see Hannon te al [7]).

We can rewrite the variational functional J in the form

$$J(y) = \int_0^L G_\beta(y')dx.$$

It was shown in Hannon te al [7] that $y \in W^{1,1}(0, L)$ is a minimizer of J if and only if

$$|y'| \in \{z \in R : G_\beta(z) = \inf(G_\beta)\} \text{ a.e.}$$

In Mizel and Zaslavski [9] we showed that for a generic function β the set

$$\{z \in R : G_\beta(z) = \inf(G_\beta)\} = \{z_\beta, -z_\beta\},$$

where z_β is a unique positive number depending only on β .

More precisely, denote by \mathcal{F} the set of all $\beta \in \mathcal{M}_r$ which satisfy the following condition:

(C) There is $z_\beta \in R$ such that

$$G_\beta(z) > G_\beta(z_\beta) \text{ for all } z \in R \setminus \{z_\beta, -z_\beta\}. \tag{1.11}$$

In Mizel and Zaslavski [9], Theorem 1.1 we showed that \mathcal{F} is a countable intersection of open everywhere dense subsets of (\mathcal{M}, ρ) . In that paper, instead of considering condition (C) for a single function $\beta \in \mathcal{M}$, we investigated it for the whole space \mathcal{M} and showed that this condition held for most of the functions in \mathcal{M} . This approach has also been successfully applied in many areas of Analysis. In the present paper we show that the complement of the set \mathcal{F} is not only of the first category, but also σ -porous in the space (\mathcal{M}, ρ) . Before we continue we recall the concept of porosity Benyamini and Lindenstrauss [1], De Blasi and Myjak [3], De Blasi et al [4], Zaslavski [10].

Let (Y, d) be a complete metric space. For $x \in Y, r > 0$ set

$$B_d(x, r) = \{y \in Y : d(x, y) \leq r\}.$$

A set $E \subset Y$ is a porous subset of (Y, d) if there exist $\alpha \in (0, 1), r_0 > 0$ such that for each $x \in Y$, each $r \in (0, r_0]$ there exists $y \in Y$ such that

$$B_d(y, \alpha r) \subset B_d(x, r) \setminus E.$$

A subset of (Y, d) is called a σ -porous subset of (Y, d) if it is a countable union of porous subsets of (Y, d) .

Other notions of porosity have been used in the literature Benyamini and Lindenstrauss [1]. We use the rather strong notion which appears in De Blasi and Myjak [3], De Blasi et al [4], Zaslavski [10].

Since porous sets are nowhere dense, all σ -porous sets are of the first category. If Y is a finite-dimensional Euclidean space, then σ -porous sets are of Lebesgue measure 0. In fact, the class of σ -porous sets in such a space is much smaller than the class of sets which have measure 0 and are of the first category.

To point out the difference between porous and nowhere dense sets note that if $E \subset Y$ is nowhere dense, $y \in Y$ and $r > 0$, then there is a point $z \in Y$ and a number $s > 0$ such that $B_d(z, s) \subset B_d(y, r) \setminus E$. If, however, E is also porous, then for small enough r we can choose $s = \alpha r$, where $\alpha \in (0, 1)$ is a constant which depends only on E .

The following theorem is our main result.

Theorem 1.1. $\mathcal{M} \setminus \mathcal{F}$ is a σ -porous subset of (\mathcal{M}, ρ) .

Theorem 1.1 will be proved in Section 4. In Section 2 we will show that $\mathcal{M} \setminus \mathcal{M}_r$ is a porous subset of (\mathcal{M}, ρ) . Section 3 contains auxiliary results.

For each $\psi \in C^2(R)$ set

$$\|\psi\|_{C^2} = \sup\{|\psi^{(i)}(t)| : t \in R, i = 0, 1, 2\}. \tag{1.12}$$

2. THE SET $\mathcal{M} \setminus \mathcal{M}_r$ IS POROUS

Proposition 2.1. $\mathcal{M} \setminus \mathcal{M}_r$ is a porous subset of (\mathcal{M}, ρ) .

Proof. Consider the function

$$\tilde{\beta}(t) = \cos(2t) + 3/2, \quad t \in R.$$

Clearly $\tilde{\beta} \in \mathcal{M}_r$,

$$\tilde{\beta}(t) \geq 1/2 \text{ for all } t \in R, \quad (2.1)$$

$$\tilde{\beta}(0) + \tilde{\beta}''(0) < -1, \quad (2.2)$$

$$\|\tilde{\beta}\|_{C^2} = 4. \quad (2.3)$$

Set

$$\alpha = 1/32. \quad (2.4)$$

Assume that

$$\beta \in \mathcal{M}, \quad r \in (0, 1]. \quad (2.5)$$

Define

$$\beta_1(t) = \beta(t) + 8^{-1}r\tilde{\beta}(t), \quad t \in R. \quad (2.6)$$

Evidently $\beta_1 \in \mathcal{M}$. In view of (2.6) and (2.3)

$$\rho(\beta, \beta_1) = 8^{-1}r\|\tilde{\beta}\|_{C^2} = 2^{-1}r. \quad (2.7)$$

It follows from (2.6), (2.5), (1.1) and (2.1) that for all $t \in R$

$$\beta_1(t) \geq 8^{-1}r\tilde{\beta}(t) \geq 16^{-1}r. \quad (2.8)$$

By (2.6), (2.5), (1.5) and (2.2)

$$\begin{aligned} \beta_1(0) + \beta_1''(0) &= \beta(0) + \beta''(0) + 8^{-1}r(\tilde{\beta}(0) + \tilde{\beta}''(0)) \\ &\leq 8^{-1}r(\tilde{\beta}(0) + \tilde{\beta}''(0)) < -8^{-1}r. \end{aligned} \quad (2.9)$$

Assume that

$$\phi \in \mathcal{M}, \quad \rho(\phi, \beta_1) \leq \alpha r = r/32. \quad (2.10)$$

Relations (2.10) and (2.7) imply that

$$\rho(\phi, \beta) \leq \rho(\phi, \beta_1) + \rho(\beta_1, \beta) \leq r/32 + r/2 < r. \quad (2.11)$$

In view of (2.10), (1.6) and (2.8) for all $t \in R$

$$\phi(t) \geq \beta_1(t) - \rho(\phi, \beta_1) \geq \beta_1(t) - r/32 \geq r/16 - 32^{-1}r = 32^{-1}r. \quad (2.12)$$

By (1.6), (2.10) and (2.9)

$$\begin{aligned} \phi(0) + \phi''(0) &\leq \beta_1(0) + \beta_1''(0) + 2\rho(\phi, \beta_1) \\ &\leq -8^{-1}r + 2\rho(\phi, \beta_1) \leq -8^{-1}r + r/16 = -r/16. \end{aligned} \quad (2.13)$$

It follows from (2.10), (2.12) and (2.13) that $\phi \in \mathcal{M}_r$. Combined with (2.11) this inclusion implies that

$$\{\phi \in \mathcal{M} : \rho(\phi, \beta_1) \leq \alpha r\} \subset \{\phi \in \mathcal{M} : \rho(\phi, \beta) \leq r\} \cap \mathcal{M}_r.$$

Proposition 2.1 is proved. □

3. AUXILIARY RESULTS

Let n be a natural number. Set

$$\Omega_n = \{z \in R : 1/n \leq |z| \leq n\}. \tag{3.1}$$

Denote by \mathcal{F}_n the set of all $\beta \in \mathcal{M}$ which satisfy the following condition:

(C1) There is $z_{\beta n} \in \Omega_n$ such that

$$G_\beta(z) > G_\beta(z_{\beta n})$$

for all

$$z \in \Omega_n \setminus \{z_{\beta n}, -z_{\beta n}\}.$$

Proposition 3.1. $\mathcal{M}_r \cap (\cap_{n=1}^\infty \mathcal{F}_n) \subset \mathcal{F}$.

Proof. Assume that

$$f \in \mathcal{M}_r \cap (\cap_{n=1}^\infty \mathcal{F}_n).$$

Since $\beta \in \mathcal{M}_r$ we have

$$\lim_{|z| \rightarrow \infty} G_\beta(z) = \infty, \inf(G_\beta) < \beta(0) = G_\beta(0). \tag{3.2}$$

(see (1.10) and Remark 1.1).

By (3.2) there are a natural number k and $\delta > 0$ such that

$$G_\beta(z) \geq G_\beta(0) + 4 \text{ for all } z \in R \text{ satisfying } |z| \geq k, \tag{3.3}$$

$$G_\beta(z) > \inf(G_\beta) + \delta \text{ for all } z \in [-1/k, 1/k]. \tag{3.4}$$

Since $\beta \in \mathcal{F}_k$ it follows from condition (C1) that there is $z_k \in \Omega_k$ such that

$$G_\beta(z) > G_\beta(z_k) \text{ for all } z \in \Omega_k \setminus \{z_k, -z_k\}. \tag{3.5}$$

Since the function G_β is continuous it follows from (3.2) that G has a point of minimum. Let $z \in R$ satisfy

$$G_\beta(z) = \inf(G_\beta). \tag{3.6}$$

In view of (3.3), (3.4) and (3.1)

$$1/k \leq |z| \leq k \text{ and } z \in \Omega_k.$$

Combined with (3.6) and (3.5) this implies that $z \in \{z_k, -z_k\}$. Therefore $\beta \in \mathcal{F}$. Proposition 3.1 is proved. □

Let n, i be natural numbers. Denote by \mathcal{F}_{ni} the set of all $\beta \in \mathcal{M}$ which satisfy the following condition:

(C2) There are $\delta > 0, z_* \in \Omega_n$ such that for each $z \in \Omega_n$ satisfying

$$G_\beta(z) \leq \inf\{G_\beta(x) : x \in \Omega_n\} + \delta,$$

the following inequality is valid:

$$\min\{|z - z_*|, |z + z_*|\} \leq 1/i.$$

Proposition 3.2. *Let n be a natural number. Then $\cap_{i=1}^\infty \mathcal{F}_{ni} \subset \mathcal{F}_n$.*

Proof. Let $\beta \in \cap_{i=1}^{\infty} \mathcal{F}_{ni}$. By condition (C2) for each natural number i there exist

$$z_i \in \Omega_n, \delta_i > 0 \tag{3.7}$$

such that the following property holds:

(C3) If $z \in \Omega_n$ satisfies

$$G_\beta(z) \leq \inf\{G_\beta(y) : y \in \Omega_n\} + \delta_i,$$

then

$$\min\{|z - z_i|, |z + z_i|\} \leq 1/i.$$

We may assume without loss of generality that

$$z_i \geq 0 \text{ for all natural numbers } i. \tag{3.8}$$

Let

$$z \in \Omega_n, G_\beta(z) = \inf\{G_\beta(x) : x \in \Omega_n\}. \tag{3.9}$$

By (3.9) and property (C3) for each integer $i \geq 1$

$$\min\{|z - z_i|, |z + z_i|\} \leq 1/i. \tag{3.10}$$

If $z = 0$, then by (3.10) $\lim_{i \rightarrow \infty} z_i = 0$. If $z > 0$, then (3.8) and (3.10) imply that $z = \lim_{i \rightarrow \infty} z_i$. If $z < 0$, then in view of (3.8) and (3.10) $z = -\lim_{i \rightarrow \infty} z_i$. We conclude that there exists $\lim_{i \rightarrow \infty} z_i$ and if a number z satisfies (3.9), then $z \in \{\lim_{i \rightarrow \infty} z_i, -\lim_{i \rightarrow \infty} z_i\}$. This implies that $\beta \in \mathcal{F}_n$. Proposition 3.2 is proved. \square

4. PROOF OF THEOREM 1.1

We preface the proof of Theorem 1.1 by the following auxiliary result.

Proposition 4.1. *Let n, i be integers. Then the set $\mathcal{M} \setminus \mathcal{F}_{ni}$ is a porous subset of (\mathcal{M}, ρ) .*

Proof. There exists $\psi \in C^\infty(\mathbb{R})$ such that

$$\begin{aligned} 0 \leq \psi(t) \leq 1 \text{ for all } t \in \mathbb{R}, \psi(t) = 0 \text{ if } |t| \geq 1, \\ \psi(t) = 1 \text{ if } |t| \leq 1/2. \end{aligned} \tag{4.1}$$

Set

$$\psi_1(t) = (1 - t^2)\psi(t), t \in \mathbb{R}. \tag{4.2}$$

Clearly $\psi_1 \in C^\infty(\mathbb{R})$,

$$\begin{aligned} 0 \leq \psi_1(t) \leq 1 \text{ for all } t \in \mathbb{R}, \psi_1(t) = 0 \text{ if } |t| \geq 1, \\ \psi_1(t) = (1 - t^2) \text{ if } |t| \leq 1/2, \psi_1(t) < 1 \text{ for each } t \in \mathbb{R} \setminus \{0\}. \end{aligned} \tag{4.3}$$

Choose a positive number c_0 such that

$$c_0 > \max\{8n^2i, 2(\arctan(1/n))^{-1}, 2(\arctan(n+1) - \arctan(n))^{-1}\}. \tag{4.4}$$

Set

$$\Delta = \inf\{\cos(2t) - \cos(\pi) : t \in [0, \arctan(n+1)]\}. \tag{4.5}$$

Evidently

$$\Delta > 0. \tag{4.6}$$

Choose a positive number α such that

$$\alpha \leq 8^{-1} \min\{(4c_0^2\|\psi_1\|_{C^2})^{-1}, 64^{-1}\Delta\}n^{-1}. \tag{4.7}$$

Assume that

$$\beta \in \mathcal{M}, r \in (0, 1]. \tag{4.8}$$

Consider the function

$$\tilde{\beta}(t) = \cos(2t) + 3/2, t \in R. \tag{4.9}$$

It is easy to see that $\tilde{\beta} \in \mathcal{M}_r$,

$$\tilde{\beta}(t) \geq 1/2 \text{ for all } t \in R, \tag{4.10}$$

$$\tilde{\beta}(0) + \tilde{\beta}''(0) < -1. \tag{4.11}$$

Define

$$\beta_1(t) = \beta(t) + (32)^{-1}r\tilde{\beta}(t), t \in R. \tag{4.12}$$

Clearly $\beta_1 \in \mathcal{M}$,

$$\rho(\beta, \beta_1) = (32^{-1}r\|\tilde{\beta}\|_{C^2}) = 8^{-1}r. \tag{4.13}$$

It follows from (4.12), (4.8), (1.1) and (4.10) that for each $t \in R$

$$\beta_1(t) \geq (32)^{-1}r\tilde{\beta}(t) \geq (64)^{-1}r. \tag{4.14}$$

In view of (4.12), (1.5), (4.8) and (4.11)

$$\begin{aligned} \beta_1(0) + \beta_1''(0) &= \beta(0) + \beta''(0) + (32^{-1}r[\tilde{\beta}(0) + \tilde{\beta}''(0)]) \\ &\leq (32)^{-1}r[\tilde{\beta}(0) + \tilde{\beta}''(0)] = -32^{-1}r. \end{aligned} \tag{4.15}$$

There is

$$\bar{z} \in \Omega_n \tag{4.16}$$

such that

$$G_{\beta_1}(\bar{z}) = \inf\{G_{\beta_1}(z) : z \in \Omega_n\}. \tag{4.17}$$

We may assume that

$$\bar{z} > 0. \tag{4.18}$$

Set

$$\bar{\theta} = \arctan(\bar{z}). \tag{4.19}$$

By (4.19), (4.18), (4.16) and (3.1)

$$\bar{\theta} \in [\arctan(1/n), \arctan(n)]. \tag{4.20}$$

Set

$$c_r = r \min\{(4c_0^2\|\psi_1\|_{C^2})^{-1}, 64^{-1}\Delta\} \tag{4.21}$$

and define

$$\psi_2(t) = c_r(1 - \psi_1(c_0(t - \bar{\theta}))), t \in R. \tag{4.22}$$

Clearly $\psi_2 \in C^\infty(R)$. Relations (4.22) and (4.3) imply that

$$0 \leq \psi_2(t) \leq c_r \text{ for all } t \in R, \tag{4.23}$$

$$\psi_2(t) = c_r \text{ if } |t - \bar{\theta}| \geq c_0^{-1}, \quad (4.24)$$

$$\psi_2(\bar{\theta}) = 0, \quad (4.25)$$

$$\psi_2(t) > 0 \text{ for each } t \in R \setminus \{\bar{\theta}\}. \quad (4.26)$$

It follows from (4.20) and (4.4) that

$$\begin{aligned} & \{t \in [0, \pi/2] : |t - \bar{\theta}| \geq c_0^{-1}\} \\ &= [0, \pi/2] \cap ((-\infty, \bar{\theta} - c_0^{-1}) \cup [\bar{\theta} + c_0^{-1}, \infty)) \\ &\supset [0, \pi/2] \cap ((-\infty, \arctan(1/n) - c_0^{-1}) \cup [\arctan(n) + c_0^{-1}, \infty)) \\ &\supset [0, \pi/2] \cap ((-\infty, 2^{-1} \arctan(1/n)] \cup [\arctan(n+1), \infty)) \\ &= [0, 2^{-1} \arctan(1/n)] \cup [\arctan(n+1), \pi/2]. \end{aligned} \quad (4.27)$$

In view of (4.27) and (4.24)

$$\psi_2(t) = c_r \text{ for each } t \in [0, 2^{-1} \arctan(1/n)] \cup [\arctan(n+1), \pi/2]. \quad (4.28)$$

By (4.28) there exists a function $\psi_3 : R \rightarrow R$ such that

$$\begin{aligned} \psi_3(t) &= \psi_2(t), \quad t \in [0, \pi/2], \\ \psi_3(-t) &= \psi_3(t + \pi) = \psi_3(t) \text{ for all } t \in R. \end{aligned} \quad (4.29)$$

Clearly $\psi_3 \in C^\infty(R)$. In view of (4.29), (4.23), (4.25) and (4.26)

$$0 \leq \psi_3(t) \leq c_r \text{ for all } t \in R, \quad (4.30)$$

$$\psi_3(\bar{\theta}) = 0,$$

$$\psi_3(t) > 0 \text{ for all } t \in [0, \pi/2] \setminus \{\bar{\theta}\}, \quad (4.31)$$

$$\psi_3(t) > 0 \text{ for all } t \in [-\pi/2, 0] \setminus \{-\bar{\theta}\}.$$

Set

$$\phi(t) = \beta_1(t) + \psi_3(t), \quad t \in R. \quad (4.32)$$

Evidently $\phi \in C^2(R)$. It follows from the inclusion $\beta_1 \in \mathcal{M}$, (4.32), (4.30) and (4.29) that

$$\phi(t) \geq 0 \text{ for all } t \in R, \quad \phi(t) = \phi(-t) = \phi(t + \pi) \text{ for all } t \in R. \quad (4.33)$$

By (4.29) and (4.28)

$$\psi_3(0) = \psi_2(0) = c_r, \quad \psi_3''(0) = \psi_2''(0) = 0, \quad (4.34)$$

$$\psi_3(\pi/2) = \psi_2(\pi/2) = c_r.$$

It follows from (4.32), (4.34), (4.15), (4.21) and (4.5) that

$$\begin{aligned} \phi(0) + \phi''(0) &= \beta_1(0) + \beta_1''(0) + \psi_3(0) + \psi_3''(0) \\ &= \beta_1(0) + \beta_1''(0) + c_r \leq -32^{-1}r + c_r \leq 64^{-1}r. \end{aligned} \quad (4.35)$$

Now we show that for all $t \in R$

$$\phi(\pi/2) \leq \phi(t) \leq \phi(0).$$

In view of (4.33) it is sufficient to show that this inequality holds for all $t \in [0, \pi/2]$.

Let $t \in [0, \pi/2]$. By (4.32), (4.30), (1.2) and (4.34)

$$\phi(t) = \beta_1(t) + \psi_3(t) \leq \beta_1(t) + c_r \leq \beta_1(0) + c_r = \beta_1(0) + \psi_3(0) = \phi(0). \quad (4.36)$$

Let us show that $\phi(t) \geq \phi(\pi/2)$. There are two cases:

$$|t - \bar{\theta}| \geq c_0^{-1} \quad (4.37)$$

and

$$|t - \bar{\theta}| < c_0^{-1}. \quad (4.38)$$

Assume that (4.37) holds. By (4.37), (4.29) and (4.24)

$$\psi_3(t) = \psi_2(t) = c_r.$$

Combined with (4.32), (1.2) and (4.34) this equality implies that

$$\begin{aligned} \phi(t) = \beta_1(t) + \psi_3(t) &= \beta_1(t) + c_r \geq \beta_1(\pi/2) + c_r = \beta_1(\pi/2) \\ &+ \psi_3(\pi/2) = \phi(\pi/2) \end{aligned}$$

and

$$\phi(t) \geq \phi(\pi/2).$$

Assume that (4.38) holds. It follows from (4.38), (4.20) and (4.4) that

$$\begin{aligned} 2^{-1} \arctan(1/n) \leq \arctan(1/n) - c_0^{-1} \leq \bar{\theta} - c_0^{-1} \leq t \leq \bar{\theta} + c_0^{-1} \\ \leq \arctan(n) + c_0^{-1} \leq \arctan(n+1). \end{aligned} \quad (4.39)$$

In view of (4.12), (1.2), (4.39), (4.9), (4.6) and (4.5)

$$\begin{aligned} \beta_1(t) - \beta_1(\pi/2) &= \beta(t) - \beta(\pi/2) + 32^{-1}r(\tilde{\beta}(t) - \tilde{\beta}(\pi/2)) \\ &\geq 32^{-1}r(\tilde{\beta}(t) - \tilde{\beta}(\pi/2)) = 32^{-1}r(\cos(2t) - \cos(\pi)) \geq 32^{-1}r\Delta. \end{aligned} \quad (4.40)$$

It follows from (4.32), (4.30), (4.40), (4.21) and (4.34) that

$$\begin{aligned} \phi(t) = \beta_1(t) + \psi_3(t) &\geq \beta_1(t) \geq \beta_1(\pi/2) + 32^{-1}r\Delta \\ &\geq \beta_1(\pi/2) + c_r = \beta_1(\pi/2) + \psi_3(\pi/2) = \phi(\pi/2). \end{aligned}$$

Thus in both cases $\phi(t) \geq \phi(\pi/2)$. Together with (4.36) this implies that

$$\phi(\pi/2) \leq \phi(t) \leq \phi(0). \quad (4.41)$$

We proved (4.41) for all $t \in [0, \pi/2]$. In view of (4.33) inequality (4.41) is valid for all $t \in R$. Combined with (4.35) and (4.33) inequality (4.41) implies that $\phi \in \mathcal{M}$. It follows from (4.13), (1.6), (4.32), (4.29), (1.12), (4.22), (4.3) and (4.4) that

$$\begin{aligned} \rho(\beta, \phi) &\leq \rho(\beta, \beta_1) + \rho(\beta_1, \phi) \leq 8^{-1}r + \rho(\beta_1, \phi) \\ &\leq 8^{-1}r + \|\psi_3\|_{C^2} \leq 8^{-1}r + \|\psi_2\|_{C^2} \\ &\leq 8^{-1}r + c_r \max\{1, c_0\|\psi_1\|_{C^2}, c_0^2\|\psi_1\|_{C^2}\} \leq 8^{-1}r + c_r c_0^2 \|\psi_1\|_{C^2}. \end{aligned}$$

Combined with (4.21) this inequality implies that

$$\rho(\beta, \phi) \leq r/8 + c_r c_0^2 \|\psi_1\|_{C^2}$$

$$\leq 8^{-1}r + r(4c_0^2\|\psi_1\|_{C^2})^{-1}c_0^2\|\psi_1\|_{C^2} \leq r/8 + r/4 \leq r/2. \quad (4.42)$$

Relations (4.32), (4.30) and (1.9) imply that

$$\phi(t) \geq \beta_1(t) \text{ for all } t \in R$$

and

$$G_\phi(t) \geq G_{\beta_1}(t) \text{ for all } t \in R. \quad (4.43)$$

Now assume that

$$h \in \mathcal{M}, \rho(h, \phi) \leq \alpha r, \quad (4.44)$$

$$z \in \Omega_n, G_h(z) \leq \inf\{G_h(y) : y \in \Omega_n\} + \alpha r n. \quad (4.45)$$

By (4.42), (4.44) and (4.7)

$$\rho(h, \beta) \leq \rho(h, \phi) + \rho(\phi, \beta) \leq \alpha r + r/2 \leq r/8 + r/2 \leq 3r/4. \quad (4.46)$$

It follows from (1.9), (1.6), (3.1), (4.44) that for each $y \in \Omega_n$

$$\begin{aligned} |G_\phi(y) - G_h(y)| &= |\phi(\arctan(y))(1+y^2)^{1/2} - h(\arctan(y))(1+y^2)^{1/2}| \\ &\leq (1+y^2)^{1/2}\rho(h, \phi) \leq (1+n^2)^{1/2}\rho(h, \phi) \leq 2n\alpha r. \end{aligned} \quad (4.47)$$

In particular

$$|G_\phi(z) - G_h(z)| \leq 2n\alpha r. \quad (4.48)$$

By (4.47)

$$|\inf\{G_\phi(y) : y \in \Omega_n\} - \inf\{G_h(y) : y \in \Omega_n\}| \leq 2n\alpha r. \quad (4.49)$$

In view of (4.48), (4.45) and (4.49)

$$\begin{aligned} G_\phi(z) &\leq G_h(z) + 2n\alpha r \leq \inf\{G_h(y) : y \in \Omega_n\} + 3\alpha r n \\ &\leq \inf\{G_\phi(y) : y \in \Omega_n\} + 5\alpha r n. \end{aligned} \quad (4.50)$$

By (4.50), (1.9), (4.19), (4.32) and (4.30)

$$\begin{aligned} G_\phi(z) &\leq 5\alpha r n + G_\phi(\bar{z}) = 5\alpha r n + \phi(\arctan(\bar{z}))(1+\bar{z}^2)^{1/2} \\ &= 5\alpha r n + \phi(\bar{\theta})(1+\bar{z}^2)^{1/2} = 5\alpha r n + (1+\bar{z}^2)^{1/2}(\beta_1(\bar{\theta}) + \psi_3(\bar{\theta})) \\ &= 5\alpha r n + (1+\bar{z}^2)^{1/2}\beta_1(\bar{\theta}) \\ &= 5\alpha r n + (1+\bar{z}^2)^{1/2}\beta_1(\arctan(\bar{z})) = 5\alpha r n + G_{\beta_1}(\bar{z}). \end{aligned} \quad (4.51)$$

It follows from (1.9), (4.32), (4.29), (4.17) and (4.45) that

$$\begin{aligned} G_\phi(z) &= \phi(\arctan(z))(1+z^2)^{1/2} \\ &= \beta_1(\arctan(z))(1+z^2)^{1/2} + \psi_3(\arctan(z))(1+z^2)^{1/2} \\ &= G_{\beta_1}(z) + \psi_2(|\arctan(z)|)(1+z^2)^{1/2} \\ &\geq G_{\beta_1}(\bar{z}) + \psi_2(|\arctan(z)|)(1+z^2)^{1/2}. \end{aligned}$$

Combined with (4.51) the equality above implies that

$$\begin{aligned} G_{\beta_1}(\bar{z}) + \psi_2(|\arctan(z)|)(1+z^2)^{1/2} &\leq G_\phi(z) \\ &\leq 5\alpha r n + G_{\beta_1}(\bar{z}) \end{aligned}$$

and

$$\psi_2(|\arctan(z)|)(1+z^2)^{1/2} \leq 5\alpha rn.$$

This inequality implies that

$$\psi_2(|\arctan(z)|) \leq 5\alpha rn. \tag{4.52}$$

If

$$||\arctan(z)| - \bar{\theta}| \geq c_0^{-1},$$

then by (4.24), (4.21) and (4.7)

$$\psi_2(|\arctan(z)|) = c_r \geq 8\alpha rn.$$

The relation above contradicts (4.52). Therefore

$$|\arctan(|z|) - \bar{\theta}| < c_0^{-1}. \tag{4.53}$$

It follows from the mean value theorem that

$$\begin{aligned} ||z| - \bar{z}| &= |\arctan(|z|) - \bar{\theta}| |(\tan)'(x)| \\ &= |\arctan(|z|) - \bar{\theta}| (\cos(x))^{-2}, \end{aligned} \tag{4.54}$$

where

$$x \in [\min\{\bar{\theta}, \arctan(|z|)\}, \max\{\bar{\theta}, \arctan(|z|)\}]. \tag{4.55}$$

By (4.55), (4.45), (4.19), (4.16), (3.1) and (4.18)

$$x \in [\arctan(1/n), \arctan(n)].$$

Since

$$\cos(x)^{-2} = (\tan(x))^2 + 1 \in [1 + 1/n^2, n^2 + 1]$$

it follows from (4.54), (4.53) and (4.4) that

$$||z| - \bar{z}| \leq |\arctan(|z|) - \bar{\theta}|(n^2 + 1) \leq c_0^{-1}(n^2 + 1) \leq 1/i.$$

We have shown that $||z| - \bar{z}| \leq 1/i$. Therefore $h \in \mathcal{F}_{ni}$. Together with (4.46) this inequality implies that

$$\{h \in \mathcal{M} : \rho(h, \phi) \leq \alpha r\} \subset \{h \in \mathcal{M} : \rho(\beta, h) \leq r\} \cap \mathcal{F}_{ni}$$

and $\mathcal{M} \setminus \mathcal{F}_{ni}$ is a porous subset of (\mathcal{M}, ρ) . Proposition 4.1 is proved. \square

Completion of the Proof of Theorem 1.1. Let n be a natural number. By Proposition 4.1 for each natural number i , $\mathcal{M} \setminus \mathcal{F}_{ni}$ is a porous subset of (\mathcal{M}, ρ) . Proposition 3.2 implies that

$$\mathcal{M} \setminus \mathcal{F}_n \subset \mathcal{M} \setminus (\cap_{i=1}^{\infty} \mathcal{F}_{ni}) = \cup_{i=1}^{\infty} (\mathcal{M} \setminus \mathcal{F}_{ni}).$$

Thus $\mathcal{M} \setminus \mathcal{F}_n$ is a σ -porous subset of (\mathcal{M}, ρ) for any natural number n .

By Propositions 3.1 and 2.1

$$\mathcal{M} \setminus \mathcal{F} \subset \mathcal{M} \setminus (\mathcal{M}_r \cap (\cap_{n=1}^{\infty} \mathcal{F}_n)) = (\mathcal{M} \setminus \mathcal{M}_r) \cup_{n=1}^{\infty} (\mathcal{M} \setminus \mathcal{F}_n)$$

and $\mathcal{M} \setminus \mathcal{F}$ is a σ -porous subset of (\mathcal{M}, ρ) . Theorem 1.1 is proved. \square

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